PATENT APPLICATION

Attorney Docket No.: _116577.01

AMENDMENT TRANSMITTAL

E. Mulvaney

Group Art Unit: 1774

Examiner:

OLIFF & BERRIDGE, PLC

P.O. Box 19928

Alexandria, Virginia 22320 Telephone: (703) 836-6400

Facsimile: (703) 836-2787

In re the Application of

Yuuichi KAWAGUCHI et al.

Application No.: 10/718,801

Filed: November 24, 2003

1100.

For: STAMPER, MOLD SYSTEM, RECORDING MEDIUM SUBSTRATE, RECORDING MEDIUM, OPTICAL DISC SUBSTRATE, OPTICAL DISC, AND METHOD FOR PRODUCING STAMPER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

Entitlement to small entity status is hereby asserted.

Small entity status of this application has been established.

The filing fee has been calculated as shown below:

	(Column 1)	(Column 2)	(Column 3)	
	CLAIMS			
	REMAINING	HIGHEST NO.		
	AFTER	PREVIOUSLY	PRESENT	
	AMENDMENT	PAID FOR	EXTRA	
TOTAL CLAIMS	*33 MINUS	**20	=13	
INDEP CLAIMS	*4 MINUS	***3	=1	
☐ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL			
ENTITY			
RATE	ADD'L FEE		
x 9	\$		
x 43	\$		
+145	\$		
	\$		

OTHER THAN A				
SMALL ENTITY				
DR.	RATE	ADD'L FEE		
	x 18	\$ 234.00		
	x 86	\$ 86.00		
OR	+290	\$		
		\$ 320.00		

OTHED THAN A

- * If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

Check No. 154668 in the amount of \$320.00 is attached. The Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Jude L. Cooney

Registration No. 54,045

JAO:JLC/aaw

Date: June 2, 2004

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of

Yuuichi KAWAGUCHI et al.

Group Art Unit: 1774

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Filed: November 24, 2003

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STAMPER, MOLD SYSTEM, RECORDING MEDIUM SUBSTRATE, RECORDING

MEDIUM, OPTICAL DISC SUBSTRATE, OPTICAL DISC, AND METHOD FOR

PRODUCING STAMPER

<u>AMENDMENT</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In reply to the March 29, 2004 Office Action, please consider the following:

Amendments to the Claims as reflected in the listing of claims; and

Remarks.

06/04/2004 MMEKONEN 00000070 10718801

01 FC:1201 02 FC:1202

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